



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।
E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2432/2017/259

Date: July 11, 2018

Mr.Md.Fazlul Hoque (Sponsor of Islamic Finance and Investment Limited)
Daika (Chemiland) Limited
67, Nayapaltan, City Heart Building (6th Floor)
Dhaka-1000

Subject: Warning: Non-compliance with securities law in connection with share buy sale declaration

Dear Sir,

This refers to BSEC's show cause-cum-hearing notice no. BSEC/Enforcement/2432/2017/168 dated April 12, 2018 and your explanation letter no. DCL/05/18 dated May 06, 2018 submitted to the Commission.

The Commission considering your apology and promise to be complied in future, has decided to dispose off the proceedings against him by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you with a warning to ensure compliance of all securities related laws in future.

This disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement)

Distribution:

Managing Director, Dhaka Stock Exchange Limited
Managing Director, Chittagong Stock Exchange Limited

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P.O to Executive Director (SRMIC), BSEC
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