



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2478/2017/254

By courier

July 11, 2018

1. Dragon Sweater and Spinning Limited: 25/2, DIT Road, Malibagh Chowdhury Para, Dhaka-1219
2. Directors, Dragon Sweater and Spinning Limited: 25/2, DIT Road, Malibagh Chowdhury Para, Dhaka-1219
3. Managing Director, Dragon Sweater and Spinning Limited: 25/2, DIT Road, Malibagh Chowdhury Para, Dhaka-1219
4. Company Secretary, Dragon Sweater and Spinning Limited: 25/2, DIT Road, Malibagh Chowdhury Para, Dhaka-1219

Attn: Managing Director

Subject: Warning: Non compliance with the Securities laws

Dear Sir,

This refers to BSEC's show cause-cum-hearing notice no. BSEC/Enforcement/2478/2017/144 dated April 11, 2018 and the accused's explanation letter no. DSSL/Acc/2018/17 dated April 17, 2018 submitted to the Commission.

The Commission considering the accuseds promise to be complied in future, has decided to dispose off the proceedings against them by placing on record the Commission's dissatisfaction on the defaults/contraventions made by them with a warning to ensure compliance of all securities related laws in future.

This disposal does not absolve Dragon Sweater and Spinning Limited and its Directors, Managing Director and Company Secretary from its lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement)

Distribution:

Managing Director, Dhaka Stock Exchange Limited
Managing Director, Chittagong Stock Exchange Limited

Copy for information:

P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (SRMIC), BSEC
P.O to Executive Director (MIS), BSEC
Chairman's office, BSEC