

Bangladesh Securities and Exchange Commission  
Enforcement Division  
Enforcement Department  
Issuer Companies Affairs Section  
[www.sec.gov.bd](http://www.sec.gov.bd)

BSEC/Enforcement/3599/2024/512  
Dated: 05 June, 2024

By Special Messenger

- 01. Dhaka Bank Securities Limited**  
(DSE TREC No. 193)  
Investment Division, Adamjee Court (1st Floor)  
115-120, Motijheel C/A, Dhaka-1000.
- 02. Mr. Md. Nafeez Al Tarik**  
Managing Director & Compliance Authority  
Dhaka Bank Securities Limited.
- 03. Mr. Md. Juel Reza**  
Compliance Officer  
Dhaka Bank Securities Limited
- 04. Mr. Md. Ajharul Islam Bhuiyan**  
Branch Manager  
Dhaka Bank Securities Limited
- 05. Mr. Anamul Kabir**  
Compliance Officer  
Dhaka Bank Securities Limited

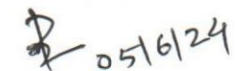
**Subject: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3599/2024/366, Date: 24 April, 2024 based on which the hearing was conducted on 12 May, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. No. DBSL/HO/ICC-801/2024/245 dated May 12, 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,



Rabiul Islam  
Assistant Director (Enforcement)  
Mobile: 01731-421312  
Email: rabiul@sec.gov.bd

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.