

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C, Agargaon
Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh

BSEC/Enforcement/2413/2017/345
September 11, 2018

By Special Messenger
Fax: 9570132

Client Name: Md. Fazlur Rahman Jamali
BOID : 1201630016140112 and 1201630061758694
Dhaka Bank Securities Limited
(DSE Mem. No. 193)
115-120 Adamjee Court (1st Floor)
Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

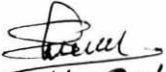
Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2413/2017/268 dated September 13, 2017 based on which the hearing was conducted on October 09, 2017.

The Commission, considering the explanation vide letter dated October 09, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Md. Fazlur Rahman Jamali by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-


11.9.18

Md. Shahnaws
Assistant Director

Mob:01816810586

Copy for information:

Chairman's office, BSEC
P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (Enforcement), BSEC
P.O to Executive Director (MIS), BSEC
P.O to Executive Director (Surveillance), BSEC
P.O to Director (Enforcement), BSEC