

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2941/2020 /1300  
December 29, 2021

By Courier Service

1. Managing Director, Dhaka Stock Exchange Ltd. Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.
2. Chief Regulatory Officer, Dhaka Stock Exchange Limited, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.

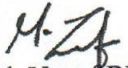
**Subject: WARNING to ensure compliance of all securities related laws in future.**

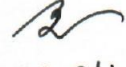
This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2941/2019/ 122 dated February 18, 2021 based on which the hearing was conducted on March 01, 2021.

The Commission, considering the explanation vide letter No. DSE/CGFRC/42/2021/1906 dated March 15, 2021 submitted at the time of hearing, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

  
Md. Yusuf Bhuiyan  
Additional Director (Enforcement)  
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e-mail: yusuf@sec.gov.bd

  
02.01.2022  
Dw (MIS)

**Copy for information:**

- Chairman's office, BSEC
- P.O to Commissioner (Enforcement), BSEC
- P.O to Executive Director (SRMIC), BSEC
- ~~P.O to Executive Director (MIS), BSEC~~
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