

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3058/2021 / 653
September 15, 2021

By Courier Service

Dhanmondi Securities Limited
DSE TREC Holder No.098
Suite # 903 (8th Floor)
Modhumita Building
158-160, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3058/2021/516 dated August 16, 2021 based on which the hearing was conducted on September 02, 2021.

Considering the explanation vide letter No.DSL/M-98/121/2021 dated September 01, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Dhanmondi Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
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Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Enforcement), BSEC
4. P.O.to Executive Director (SRI), BSEC