

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3807/2024/309

Dated: February 11, 2025

01. **Dhanmondi Securities Limited (DSE TREC # 98)**, Suite # 903 (8th Floor), Modhumita Building, 158-160 Motijheel C/A, Dhaka-1000.
02. **Akhter Jahan Khan, Chairman**, Dhanmondi Securities Limited, Suite # 903 (8th Floor), Modhumita Building, 158-160 Motijheel C/A, Dhaka-1000.
03. **Mr. Md. Mizanur Rahman Khan, Managing Director**, Dhanmondi Securities Limited, Suite # 903 (8th Floor), Modhumita Building, 158-160 Motijheel C/A, Dhaka-1000.
04. **Mr. Md. Mazbahuddin & Ms. Jesmin Begum (directors), Director**, Dhanmondi Securities Limited, Suite # 903 (8th Floor), Modhumita Building, 158-160 Motijheel C/A, Dhaka-1000.
05. **Mr. Asif Islam Khan, Director**, Dhanmondi Securities Limited, Suite # 903 (8th Floor), Modhumita Building, 158-160 Motijheel C/A, Dhaka-1000.
06. **Mr. Furkanuddin Ahmed, Head of Operation**, Dhanmondi Securities Limited, Suite # 903 (8th Floor), Modhumita Building, 158-160 Motijheel C/A, Dhaka-1000.
07. **Mr. Kabirul Islam, Head of Finance & Accounts**, Dhanmondi Securities Limited, Suite # 903 (8th Floor), Modhumita Building, 158-160 Motijheel C/A, Dhaka-1000.
08. **Mr. Ziauddin Ahmed, General Manager**, Dhanmondi Securities Limited, Suite # 903 (8th Floor), Modhumita Building, 158-160 Motijheel C/A, Dhaka-1000.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3807/2024/54 dated 07 January 2025 based on which the hearing was conducted on 27 January 2025.

Subsequently, you have submitted your replies vide letter ref no. DSL/T-098/BSEC/2024/05 dated 27 January 2025, where you mentioned your compliance status.

The Commission, considering your degree of non-compliances, has decided to warn you to be careful in future and also suggested to be cautious about maintaining due diligence related to securities related laws. Any divergence of securities related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the above-mentioned show-cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,



11-02-2025

Rubel Hossain

Assistant Director (Enforcement)

Copy for information:

1. Chairman Office, BSEC.
2. P.O. to all Commissioner (Enforcement), BSEC.
3. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2024/5869 dated 26th September 2024.
- ✓ 4. PO to Executive Director (ICTD), BSEC.
5. Managing Director, Dhaka Stock Exchange PLC.