

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2616/2018/1285

By Special Messenger

Dated: December 28, 2021

Doreen Capital Management Limited
(Former Nur-E-Alam Siddique & Company Limited)
(DSE TREC No.182)
DSE Tower, Plot-46, Road-21, Suite-243, Level-12
Nikunja-2, Dhaka-1229

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2616/2018/997 dated November 18, 2021 and subsequent correspondence, based on which the hearing was conducted on December 13, 2021.

The Commission, considering your explanation vide letter Ref: DCM/CD/Reg-2112.12/5 dated December 12, 2021 has decided to dispose of the proceedings against Doreen Capital Management Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen
28.12.21

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

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DW (MIS)

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited