

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/4184/2026/599

By Special Messenger

Dated: 20 August, 2026

01. Dragon Securities Ltd.

(DSE TREC # 119)

Bilquis Tower (8th Floor, North Side)

Plot # 6 (New), 2nd Circle

Gulshan, Dhaka-1212

02. Mr. Md. Mahbubul Haque Kabir

CEO and Compliance Authority

Dragon Securities Ltd.

03. Mr. Md. Ahsanul Islam

Accounts In-Charge

Dragon Securities Ltd.

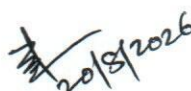
Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/4184/2026/459, Date: 12 July 2026 based on which the hearing was conducted on 27 July, 2026.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated 27 July, 2026 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Enforcement)
- ✓ 4. P.O to Executive Director (MIS)
5. P.O to Executive Director (MIAD) (DSE Ref. No. DSE/MCD/BSEC/2024/6846, dated: 10/11/24)
6. Managing Director, Dhaka Stock Exchange PLC.