



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

o/c

BSEC/Enforcement/2612/2018-523

By Courier service

Dated: December 02, 2018

1. Dragon Sweater & Spinning Ltd, 25/2, D.I.T. Road, Malibagh Chowdhury Para, Dhaka-1219.
2. Directors, Dragon Sweater & Spinning Ltd, 25/2, D.I.T. Road, Malibagh Chowdhury Para, Dhaka-1219.
3. Managing Director/CEO, Dragon Sweater & Spinning Ltd, 25/2, D.I.T. Road, Malibagh Chowdhury Para, Dhaka-1219.
4. Company Secretary, Dragon Sweater & Spinning Ltd, 25/2, D.I.T. Road, Malibagh Chowdhury Para, Dhaka-1219.

Attention: Managing Director/CEO.

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No BSEC/Enforcement/2612/2016-429, dated 24.10.2018 based on which the hearing was conducted on November 18, 2018.

The Commission, considering the accused apology and written explanation vide letter no-DSSL/Acc/2018/54 dated November 18, 2018-which was submitted at the time of hearing, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by the accused with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve Dragon Sweater & Spinning Ltd, its Directors, Managing Director, Company Secretary and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


02.12.18

Md. Rafiqunnabi

Assistant Director

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Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (SRMIC), BSEC
4. Executive Director (R&D), BSEC
5. CRO, DSE
6. Office file