Bangladesh Securities and Exchange Commission Enforcement Division Enforcement Department

Market, Market Intermediaries and Other Affairs Section www.sec.gov.bd

BSEC/Enforcement/3542/2024/130

130

By Special Messenger

Date: January 19, 2025

Dragon Sweater & Spinning Mills Limited
25/2 D.I.T. Road, Malibagh Chowdhury Para, Dhaka-1219.

- 2. Board of Directors, Dragon Sweater & Spinning Mills Limited 25/2 D.I.T. Road, Malibagh Chowdhury Para, Dhaka-1219.
- 3. Managing Director, Dragon Sweater & Spinning Mills Limited 25/2 D.I.T. Road, Malibagh Chowdhury Para, Dhaka-1219.
- 4. Company Secretary, Dragon Sweater & Spinning Mills Limited 25/2 D.I.T. Road, Malibagh Chowdhury Para, Dhaka-1219.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3542/2024/199 dated February 28, 2024 based on which the hearing was conducted on March 10, 2024.

The Commission, considering your degree of non-compliances vide letter ref no# DSSL/Acc/2024/10 dated March 10, 2024, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the show cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

By order of the Bangladesh Securities and Exchange Commission,

Md. Mahmudul Hasan

Assistant Director (Enforcement)

Email: mahmudulhasan@sec.gov.bd

Copy for information:

PS to Chairman, BSEC.

PO to Commissioner (All), BSEC.

PO to Executive Director (CAD), BSEC. With reference to Corporate Reporting Department (The then CFD) note dated May 30, 2023.

PO to Executive Director (ICTD), BSEC.