

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3597/2024/480

Dated: May 28, 2024

01. **Dynamic Securities Consultants Limited (DSE TREC # 126)**, Room # 604, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.
02. **Mr. Md. Moksedur Rahman, Managing Director & Compliance Authority** of Dynamic Securities Consultants Limited, Room # 604, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.
03. **Mr. Md. Mashiur Rahman, CEO** of Dynamic Securities Consultants Limited, Room # 604, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.
04. **Mr. Md. Nasir Uddin, Mirpur Branch In-Charge** of Dynamic Securities Consultants Limited, Room # 604, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.
05. **Mr. Shaikh Md. Milon, Compliance Officer** of Mirpur Branch of Dynamic Securities Consultants Limited, Room # 604, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.
06. **Mr. Md. Saberuzzaman, Employee and Trader** of Mirpur Branch of Dynamic Securities Consultants Limited, Room # 604, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3597/2024/384 dated 29 April 2024 based on which the hearing was conducted on 19 May 2024.

The Commission, considering your explanation vide letter ref. DYN/BSEC/2024/01 dated 16.05.2024 has decided to dispose of the proceedings against Dynamic Securities Consultants Limited (DSE TREC # 126) and the concerned officers of Dynamic Securities Consultants Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission


28-05-2024
Rubel Hossain
Assistant Director (Enforcement)
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Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2024/740 dated 5th February 2024.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC.