



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2806/2019/04  
Dated: 02 January, 2024

By Special Messenger

**01. E-Securities Limited**

(DSE TREC No. 066)

Paramount Heights, Space # 8A1 (8th Floor) & Space # 15A  
B, C1, C2 (15th Floor), 65/2/1, Box Culvert Road  
Purana Paltan, Dhaka-1000.

**02. Mr. Md. Aminul Islam Sumon**

Compliance Officer  
E- Securities Limited.

**03. A.B.M. Mahmudul Haque**

Accounts In-Charge  
E-Securities Limited

**Subject: Non-compliance of securities related laws: Disposal of the alleged matter.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2806/2019/1082, Date: 07 December, 2023 based on which the hearing was conducted on 24 December, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations letter Ref. ESL/Operations/2023/1537 dated December 21, 2023 which was submitted at the time of hearing, has disposed of the proceedings against you.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

*R*  
02.01.2024

Rabiul Islam  
Assistant Director (Enforcement)  
Mobile: 01731-421312  
Email: rabiul@sec.gov.bd

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.