

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3076/2021/629
Dated: September 12, 2021

By Special Messenger

E-Securities Limited
(DSE TREC Holder No. 66)
Paramount Heights, Space# 15A, B,C1, C2,
65/2/1, 15th Floor, Box Culvert Road,
Purana Paltan, Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3076/2021/456 dated August 11, 2021 based on which the hearing was conducted on 23.08.2021.

The Commission, considering your explanation vide letter ref No. ESL/Operation/2021/1176 dated 22 August, 2021 has decided to dispose of the proceedings against E-Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

[Signature]
12.09.2021

Mohammad Al Masum Mirdha
Additional Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Ltd.

M/F