

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2806/2019/1০৭  
January 16, 2022

By Courier Service

E-Securities Limited  
Paramount Heights,  
Space # 8A1 (8th Floor)  
Space # 15A, B, C1,  
C2 (15th Floor), 65/2/1  
Box Culvert Road, Purana Paltan  
Dhaka-1000

Attention: Managing Director/CEO

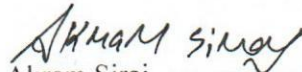
**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2806/2019/1226 dated December 23, 2021 based on which the hearing was conducted on December 26, 2021.

Considering the explanation vide ESL/Operation/2021/1244 dated December 23, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against E-Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

  
Akram Siraj 16.01.2022  
Assistant Director (Enforcement)  
E-mail: akram@sec.gov.bd

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O. to Director (MIS), BSEC
4. Managing Director, DSE