



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3403/2022/298
Dated: April 04, 2023

By Special Messenger

EBL Securities Limited (BO ID: 1201950000015755
Client of EBL Securities Limited)
Jiban Bima Bhaban (1st Floor)
10, Dilkusha C/A, Dhaka-1000.

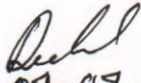
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No.BSEC/Enforcement/3403/2022/242 dated March 05, 2023 based on which the hearing was conducted on March 27, 2023.

The Commission, considering your explanation letter no. EBLSL/384/2023 dated 27.03.2023 has decided to dispose of the proceedings against EBL Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


04-07-2023

Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361.

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (MSI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.