

## Bangladesh Securities and Exchange Commission

**BSEC/Enforcement/2949/2020/1075**

**Date: December , 2021**

EBL Securities Limited  
(DSE TREC#026)  
Jiban Bima Bhaban (1st Floor)  
10, Dilkusha C/A, Dhaka-1000

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2949/2020-132 Dated February 24, 2021 based on which the hearing was conducted on March 01, 2021.

The Commission, considering your explanation, has decided to dispose of the matter against you (EBL Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

By order of Bangladesh Securities and Exchange Commission,

*M. Yusuf*

**Md. Yusuf Bhuiyan**  
**Additional Director (Enforcement)**  
PABX: 88-02-55007139  
E-mail: [yusuf@sec.gov.bd](mailto:yusuf@sec.gov.bd)

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS), BSEC.
4. P.O. to Director (Enforcement), BSEC.

*14 DEC 2021*

*15/12/2021*  
**MIS**  
**Director (MIS)**

**DD-I(MIS)**