

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3013/2021/483

Dated: March 21, 2022

EBL Securities Limited

(DSE TREC Holder # 026)

Jiban Bima Bhaban (1st Floor)

10, Dilkusha C/A, Dhaka-1000.

Kind Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3013/2021/309 Dated: February 15, 2022 based on which the hearing conducted on March 07, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. EBLSL/299/2022 dated March 07, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (EBL Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Sultan Salah Uddin
21.03.2022

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

PABX: 88-02-55007131-2, Ext- 1306

E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. P.O. to Executive Director (MSI), BSEC
5. P.O. to Director (Enforcement), BSEC.
6. Managing Director, Dhaka Stock Exchange Limited.