



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/3333/2022/1136**

**Dated: August 24, 2022**

**EBL Securities Limited**

(DSE TREC No # 026)

Jiban Bima Bhaban (1st Floor)

10, Dilkusha C/A, Dhaka-1000.

**Kind Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3333/2022/1049 Dated: August 03, 2022 based on which the hearing conducted on August 21, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. EBLSL/1106/2022 dated August 21, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (EBL Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

**Md. Sultan Salah Uddin**

**Deputy Director (Enforcement)**

PABX: 88-02-55007131-2, Ext- 1306

E-mail: [ssuddin@sec.gov.bd](mailto:ssuddin@sec.gov.bd)

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. P.O. to Executive Director (MSI), BSEC
5. P.O. to Director (Enforcement), BSEC.
6. Managing Director, Dhaka Stock Exchange Limited.