



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3421/2023/ ৩৩৬

Dated: 12 April, 2023

By Special Messenger

EMES Securities Ltd.  
(DSE TREC No. 155)  
Room # 435 (3rd Floor), DSE Annex Building 9/E  
Motijheel C/A Dhaka-1000.

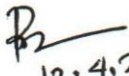
**Subject: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3421/2023/273, Date: 15 March, 2023 based on which the hearing was conducted on 10 April, 2023.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated April 10, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you (EMES Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
12.4.23

Rabiul Islam  
Assistant Director (Enforcement)  
Mobile: 01731-421312  
Email: rabiul@sec.gov.bd

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.