

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3019/2021 / 1174
August 31, 2022

By Courier Service

Mostafa Kamal Pasha
(BO ID # 1202460065938734)
EMES Securities Limited
Room # 435 (3rd Floor),
DSE Annex Building 9/E,
Motijheel C/A Dhaka-1000

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3019/2021/624 dated April 25, 2022 based on which the hearing was conducted on May 19, 2022.

Considering the explanation vide letter dated August 11, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the **Mostafa Kamal Pasha** (BO ID # 1202460065938734) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Amirul Islam
31.08.2022

Md. Amirul Islam
Assistant Director (Enforcement)
Phone: 01681009385
e-mail: amirul@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O.to Executive Director (SRI), BSEC
5. MD, DSE

Amirul Islam