



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2680/2019/316

April 09, 2023

By Courier Service

ETBL Securities & Exchange Ltd.

Sonargaon Plaza (2nd Floor)

Flat-2A, 57/12, East Raja Bazar

West Panthapath

Dhaka-1205

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2680/2019/266 dated March 22, 2023 based on which the hearing was conducted on March 27, 2023.

Considering the explanation vide letter submitted at the time of hearing, the Commission has decided to dispose of the proceedings against ETBL Securities & Exchange Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Akram Siraj
09.04.2023

Akram Siraj

Assistant Director (Enforcement)

E-mail: akram@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O to Director (MIS), BSEC
4. Managing Director, DSE