

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2680/2019/626

Dated: April 20, 2022

**By Special Messenger**

ETBL Securities & Exchange Ltd.  
TREC Holder Number (DSE 031)  
Suvasto Tower (9<sup>th</sup> Floor)  
69/1, Panthopath, Dhaka-1205.

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2680/2019/297 dated February 14, 2022 based on which the hearing was conducted on February 28, 2022.

The Commission, considering your explanation vide letter dated 28.02.2022 has decided to dispose of the proceedings against ETBL Securities & Exchange Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

20.04.2022

Md. Mahmudul Hasan  
Assistant Director-v (Enforcement)  
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.