

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3089/2021/২২১
September 29, 2021

By Courier Service

Eastern Shares & Securities Limited
CSE TREC Holder No.058
Delowar Bhaban (2nd Floor)
104, Agrabad C/A
Chattagram

Attention: Managing Director/CEO


Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3089/2021/621 dated September 07, 2021 based on which the hearing was conducted on September 27, 2021.

Considering the explanation vide letter No. ESSL/BSEC/2021/242 dated September 22, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Eastern Shares & Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission



Md. Yusuf Bhuiyan
Additional Director (Enforcement)
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Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Enforcement), BSEC
4. P.O. to Executive Director (SRI), BSEC