

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3224/2021/1309  
Dated: December 30, 2021

By Special Messenger

Eastern Shares & Securities Limited  
(CSE TREC No. 058)  
Delowar Bhaban (2nd Floor)  
104 Agrabad C/A, Chattogram.

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3224/2021/1162 dated December 13, 2021 based on which the hearing was conducted on December 26, 2021.

The Commission, considering your explanation vide letter dated 23.12.2021 has decided to dispose of the proceedings against Eastern Shares & Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

30.12.2021  
Md. Mahmudul Hasan  
Assistant Director-v (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd

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Dir (MIS)

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.