

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3178/2021/54
January 09, 2022

By Courier Service

Elegant Stock & Securities Ltd.
Room # 410.
Stock Exchange Building,
9/F, Motijheel C/A,
Dhaka-1000
Attention: Managing Director/CEO

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16-01-2022
DB-1 (MIS)

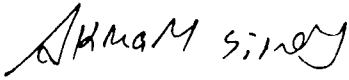
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3178/2021/1081 dated December 06, 2021 based on which the hearing was conducted on December 15, 2021.

Considering the explanation vide letter ESSL/Admin/BSEC/2021/04900 dated December 15, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Elegant Stock & Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


Akram Siraj 09.01.2022
Assistant Director (Enforcement)
E-mail:

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O.to Director (MIS), BSEC
4. Managing Director, DSE