

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3178/2021/867

Dated: June 15, 2022

Elegant Stock & Securities Limited
(DSE TREC No. 051)
Room # 410, Stock Exchange Building
9/F, Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3178/2021/720 dated May 18, 2022 based on which the hearing was conducted on June 05, 2022.

Considering your explanation vide letter dated 05.06.2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Elegant Stock & Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Mahmuda Shireen

15.06.22

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.