

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2508/2018 / 1610
December 07, 2022

By Special Messenger

Eminent Securities Ltd.
Lily Pond Center, Level# 21
Suite #21 (F & G)
3,R.K.Mission Road
Dhaka-1203

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2508/2018/1533 dated November 24, 2022 based on which the hearing was conducted on December 29, 2022.

Considering the explanation vide letter No.FER/2022/117 dated December 01, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Eminent Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by them with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
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Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Surveillance), BSEC
4. P.O to Executive Director (Enforcement), BSEC
5. P.O.to Executive Director (SRI), BSEC