

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3304/2022/1347
October 20, 2022

By Special Messenger

Eminent Securities Limited
DSE TREC Holder, No.191
Lily Pond Center, Level-21
Suite # 21 (F&G)
3,R.K.Mission Road,Dhaka-1203

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3304/2022/1117 dated August 23, 2022 based on which the hearing was conducted on September 11, 2022.

Considering the explanation vide letter No.FER/2022/87 dated September 7, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Eminent Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


Md. Yusuf Bhuiyan
Additional Director (Enforcement)
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Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Enforcement), BSEC
4. P.O.to Executive Director (SRI), BSEC