

Bangladesh Securities and Exchange Commission

By Special Messenger

BSEC/Enforcement/3049/2021/985

November 16, 2021

Expo Traders Limited
DSE TREC No.230
Suite # 802 (7th Floor)
158-160, Modhumita Cinemahall Building
Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3049/2021/406 dated June 13, 2021 and subsequent letter dated October 25, 2021 based on which the hearing was conducted on November 08, 2021.

The Commission, considering your explanation vide letter dated August 26, 2021 and subsequent letter dated November 08, 2021 has decided to dispose of the proceedings against Expo Traders Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen

16.11.21

Mahmuda Shireen

Deputy Director (Enforcement)

E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited