

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3049/2021/1180

By Special Messenger

December 19, 2021

Expo Traders Limited
DSE TREC No.230
Suite # 802 (7th Floor)
158-160, Modhumita Cinemahall Building
Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2610/2018/996 dated November 18, 2021 based on which the hearing was conducted on November 29, 2021.

The Commission, considering your explanation vide letter dated November 29, 2021 has decided to dispose of the proceedings against Expo Traders Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen

19.12.21

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

26.12.2021

DW (MIS)

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited