

## Bangladesh Securities and Exchange Commission

“মুজিববর্ষের অঙ্গীকার  
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

BSEC/Enforcement/3083/2021/ ৪ ২৭  
Dated: October 07, 2021

By Special Messenger

Fareast Stocks & Bonds Limited  
(CSE TREC No. 138)  
Registered Office:  
Chand Mansion (3<sup>rd</sup> Floor, North Side)  
66, Dilkusha C/A,  
Dhaka-1000.

**Attention:** Managing Director/CEO

21 OCT 2021  
D/M/S

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3083/2021/553 dated August 19, 2021 based on which the hearing was conducted on September 06, 2021.

The Commission, considering your explanation vide letter dated September 06, 2021 has decided to dispose of the proceedings against Fareast Stocks & Bonds Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

*Mohammad Al Masum Mirdha*  
Mohammad Al Masum Mirdha  
Additional Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.