



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন  
সিকিউরিটিজ কমিশন ভবন  
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭  
www.sec.gov.bd



BSEC/Enforcement/2848/2019-106  
Date: February 10, 2021

By Courier Service

Managing Director/CEO  
FAS Capital Management Ltd.  
Suvastu Imam Square (4th Floor)  
65, Gulshan Avenue Gulshan,  
Dhaka-1216


**Subject: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2848/2019-72 dated January 26, 2020** based on which the hearing has been conducted on February 05, 2020.

The Commission, considering FAS Capital Management Ltd.'s apology and written explanation-which was submitted at the time of hearing, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you and any other who involved from their lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
10.02.21

Md. Rafiqunnabi  
Assistant Director  
e-mail- rafiq@sec.gov.bd

Copy for information:

1. Honorable Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (MIS), BSEC
4. Office file