

**Bangladesh Securities and Exchange Commission**

Enforcement Division

Enforcement Department

Issuer Companies Affairs Section

[www.sec.gov.bd](http://www.sec.gov.bd)

**BSEC/Enforcement/3541/2024/ 623**  
**July 24, 2024**

- 1. FAS Finance & Investment Limited**  
Suvastu Imam Square (4th & 5th Floor)  
65, Gulshan Avenue, Gulshan- 1, Dhaka-1212.
- 2. Board of Directors**  
FAS Finance & Investment Limited  
Suvastu Imam Square (4th & 5th Floor)  
65, Gulshan Avenue, Gulshan- 1, Dhaka-1212
- 3. Managing Director**  
FAS Finance & Investment Limited  
Suvastu Imam Square (4th & 5th Floor)  
65, Gulshan Avenue, Gulshan- 1, Dhaka-1212
- 4. Chief Financial Officer (CFO)**  
FAS Finance & Investment Limited  
Suvastu Imam Square (4th & 5th Floor)  
65, Gulshan Avenue, Gulshan- 1, Dhaka-1212
- 5. Company Secretary**  
FAS Finance & Investment Limited  
Suvastu Imam Square (4th & 5th Floor)  
65, Gulshan Avenue, Gulshan- 1, Dhaka-1212

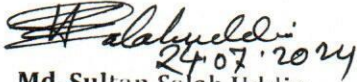
**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3541/2024/178 dated February 20, 2024 based on which the hearing was conducted on March 18, 2024.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. FAS/CS/BSEC/2024/456 dated 14 March 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/ contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
24.07.2024

**Md. Sultan Salah Uddin**

**Deputy Director (Enforcement)**

PABX: 88-02-55007131-2, Ext- 1306

E-mail: [ssuddin@sec.gov.bd](mailto:ssuddin@sec.gov.bd)

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (CRD)
4. P.O to Executive Director (MIS)
5. P.O. to Director (Enforcement), BSEC.