



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3261/2022/1142

Dated: August 28, 2022

Fareast Stock & Bonds Ltd. (DSE TREC # 226)

Chand Mansion (3rd Floor, North Side)

66, Dilkusha C/A, Dhaka-1000.

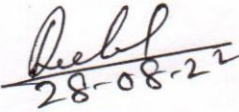
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3261/2022/1022 dated July 31, 2022 based on which the hearing was conducted on August 21, 2022.

The Commission, considering your explanation vide letter ref no. FSB/COM/2022/293 dated 17.08.2022 has decided to dispose of the proceedings against Fareast Stock & Bonds Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


28-08-22

Rubel Hossain

Assistant Director (Enforcement)

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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
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4. Managing Director, Dhaka Stock Exchange Ltd.