

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2705/2019/831

By Special Messenger

Dated: June 02, 2022

FAREAST STOCKS & BONDS LTD.  
(DSE TREC holder no.226)  
Chand Mansion (3rd Floor, North Side)  
66, Dilkusha C/A, Dhaka-1000

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No.BSEC/Enforcement/2705/2019/613 dated April 20, 2022 based on which the hearing was conducted on May 19, 2022.

The Commission, considering your explanation vide letter ref. FSB/BSEC/2022/190 dated May 19, 2022 has decided to dispose of the proceedings against FAREAST STOCKS & BONDS LTD. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

*Mahmuda Shireen*  
02.06.22

**Mahmuda Shireen**  
Deputy Director (Enforcement)  
E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (MSI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited