

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3261/2022/847  
June 01, 2022

By Courier Service

Fareast Stocks & Bonds Limited (DSE TREC No. 226)  
Chand Mansion (3rd Floor, North Side),  
66, Dilkusha C/A,  
Dhaka-1000  
Bangladesh.

Attention: Managing Director/CEO

**Subject:**WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3261/2022/618 dated April 20, 2022 based on which the hearing was conducted on May 19, 2022.

Considering the explanation vide letter dated May 19, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Fareast Stocks & Bonds Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Amirul Islam  
Assistant Director (Enforcement)  
Phone: 01681009385  
e-mail: amirul@sec.gov.bd

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O.to Executive Director (SRI), BSEC
5. MD, DSE