



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3299/2022/258

Dated: March 06, 2023

Farzana Naheed (Client Code: 030123 & 030161)
Client of Premier Bank Securities Ltd.)
H # 14/A-2, R # 15, Dhanmondi, Jigatola-1209.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No.BSEC/Enforcement/3299/2022/209 dated February 08, 2023 based on which the hearing was conducted on February 26, 2023.

The Commission, considering your explanation letter no. Nill dated 26.02.2023 has decided to dispose of the proceedings against Farzana Naheed by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel
06-03-2023

Rubel Hossain
Assistant Director (Enforcement)
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (MSI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.