

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3067/2021/910
October 31, 2021

Firstlead Securities Limited
(CSE Member # 122)
Al-Hamra Shopping City (4th Floor)
Zindabazar, Sylhet-3100.

Attention: Managing Director/CEO

Subject: Non-compliance of securities related laws.

This refers to the Commission's show cause cum hearing notice BSEC/Enforcement/3067/2021/774 dated October 04, 2021 based on which the hearing conducted on October 25, 2021.

The Commission, considering and examining the facts regarding allegations, physical meeting, has decided to allow time to rectify the irregularities within December 31, 2021 with intimation to the Commission.

For Bangladesh Securities and Exchange Commission,

Md. Sultan Salah Uddin
Deputy Director (Enforcement)
PABX: 88-02-55007131-2, Ext- 1306
E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Director (Enforcement), BSEC.

3) MIS