



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

o/c

BSEC/Enforcement/3278/2022/1692
Dated: December 28, 2022

By Courier Service

G M F Securities Limited
DSE TREC# 186
Room # 309, Stock Exchange Building, 9/F, Motijheel C/A
Dhaka-1000

Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3278/2022/1470 dated November 16, 2022 based on which the hearing was scheduled and conducted on November 27, 2022.

The Commission, considering the explanation vide letter no. জিএমএফএস/ভিএসই-ট্রেক-১৮৬/৬৫৯১ dated November 27, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against G M F Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


28.12.2022

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC
Managing Director, Dhaka Stock Exchange Ltd.