





Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2522/2018/ 6 2 4 Dated: April 20, 2022

By Special Messenger

Gateway Equity Resources Limited (DSE TREC NO #157) Room # 150, DSE Tower (Level-08) Plot# 46, Road # 21, Nikunja-2, Dhaka-1229

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2522/2018/327 dated February 20, 2022 based on which the hearing was conducted on February 28, 2022.

The Commission, considering your explanation vide letter dated 28.02.2022 has decided to dispose of the proceedings against Gateway Equity Resources Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Md. Mahmudul Hasan Assistant Director-v (Enforcement)

Mobile: 01515292195

Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.

2. PO to Executive Director (SRI), BSEC.

3. PO to Executive Director (MIS), BSEC.

4. Managing Director, Dhaka Stock Exchange Ltd.