

**Bangladesh Securities and Exchange Commission**  
**Enforcement Division**  
**Enforcement Department**  
**Market, Market Intermediaries and Other Affairs Section**  
[www.sec.gov.bd](http://www.sec.gov.bd)

BSEC/Enforcement/3498/2023/610  
Dated: July 24, 2024

By Special Messenger

01. Globe Securities Limited  
(TREC no. DSE 189)  
Room # 302 & 314,  
Stock Exchange Building (2nd Floor)  
9/F, Motijheel C/A, Dhaka-1000.
02. Mr. Azizur Rahman  
CEO/MD/Compliance Officer  
Globe Securities Limited  
Room # 302 & 314,  
Stock Exchange Building (2nd Floor),  
9/F, Motijheel C/A, Dhaka-1000.
03. Mr. Sheikh Mohammad Arif  
Accounts In charge  
Globe Securities Limited  
Room # 302 & 314,  
Stock Exchange Building (2nd Floor),  
9/F, Motijheel C/A, Dhaka-1000.

**Attention: Managing Director/CEO/Alleged Person**


**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3498/2023/1022 dated November 19, 2023 based on which the hearing was conducted on December 03, 2023.

The Commission, considering your adjustment of irregularities vide your letter dated 03.12.2023 and letter 10.06.2024 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
24.07.2024

**Md. Mahmudul Hasan**  
Assistant Director (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. With reference to the IDM no# BSEC/SMMI/DSE/INS-84/2022/699 dated: October 10, 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC. With reference no. DSE/MCD/BSEC/2023/019/5298 Dated: September 20, 2023.

Securities Commission Bhaban, E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.