



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

**BSEC/Enforcement/2201/2014/276**

**July 12, 2016**

**By Special Messenger**

Client Name: Golam Mahiuddin, Client Code:00033, Name of the broker: Sharp Securities Limited,  
Client Name: Golam Mahiuddin, Client Code: 2651, Name of the merchant bank :Unicap  
Investment Limited & Client Name: Mr. Golam Mahiuddin, Client Code:1217, Name of the  
merchant bank:Prime Finance Capital Management Limited

**Attention: Managing Director/CEO**

**Subject:** Review: penal order no. BSEC/Enforcement/2201/2014/230 dated July 13, 2015.

This refers to your review dated August 09, 2015 and the Commission's Penalty Order No. BSEC/Enforcement/2201/2014/230 dated July 13, 2015.

The Commission has considered your review dated August 09, 2015 under section 26 of the Securities and Exchange Ordinance, 1969 and reduced the penalty from Tk. 10,00,000.00 (Ten Lac) to Tk. 7,00,000.00 (Seven Lac). You are requested to deposit the penalty within fifteen days from the issuance of this letter.

For Bangladesh Securities and Exchange Commission

**Md. Hossain Khan**  
**Deputy Director**

**Copy for information:**

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Executive Director, (Surveillance), BSEC  
Executive Director, (Law), BSEC  
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