

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3444/2023/380

Date: April 29, 2024

Grameen Capital Management Limited
Grameen Bank Complex (10th Floor)
Mirpur-2, Dhaka-1216.

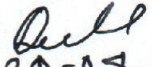
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3444/2023/446 dated 30 May 2023 based on which the hearing was conducted on 25 June 2023.

The Commission, considering your explanation vide letter ref. GCML/HO/1.04/2023 dated 25.06.2023 has decided to dispose of the proceedings against Grameen Capital Management Limited (GCML) (Merchant Banker) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

By order of the Bangladesh Securities and Exchange Commission,


29-07-2024
Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361.

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to Commission's inspection Order no. BSEC/SRI/INS/2023/133 dated 01 March 2023.
- ✓ 3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC.
5. Managing Director, Chittagong Stock Exchange PLC.