



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2226/2015/472

Dated: November 28, 2016

By Special Messenger

Green Delta Securities Ltd., Hadi Mansion (3rd & 6th Floor), 2, Dilkusha C/A, Dhaka-1000.

Attention: Managing Director/CEO

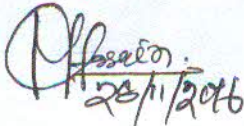
Sub: **Non-compliance of securities related laws. WARNING**

This refers to BSEC's show cause-cum-hearing notice No. BSEC/Enforcement/2226/2015/221 dated June 08, 2016 and your reply letter no. GDSL/HO/2016/0822 dated July 20, 2016.

The Commission, considering the explanation vide letter dated July 20, 2016 submitted at the time of hearing, has decided to dispose of the proceedings against Green Delta Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


28/11/2016

Md. Hossain Khan

Deputy Director

Copy for information:

Commissioner (Enforcement), BSEC

Executive Director (Surveillance), BSEC

Executive Director (Law), BSEC

Executive Director (MIS), BSEC

Chairman's Office