

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/2385/2017/339
Dated: 03 April, 2024

By Special Messenger

01. Habibur Rahman Securities Limited

(DSE TREC No. 187)
2-3, Wapda Building,
Motijheel C/A, Dhaka-1000.

02. Mr. Md. Aminul Islam

Compliance Officer
Habibur Rahman Securities Limited.

01. Mr. Mokhlesur Rahman

Accounts In-Charge
Habibur Rahman Securities Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2385/2017/257, Date: 18 March, 2024 based on which the hearing was conducted on 01 April, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. No. HRSL/BSEC Show-Cause/2024/03/001 dated March 31, 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

03/04/2024

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.