

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3049/2021/346

By Special Messenger

Dated: February 23, 2022

Habibur Rahman Securities Ltd.
(DSE TREC No.187)
2-3, Wapda Building
Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3049/2021/401 dated June 13, 2021 and subsequent letter No. BSEC/Enforcement/3049/2021/894 dated October 25, 2021, based on which the hearing was conducted on November 08, 2021.

The Commission, considering your explanation vide letter dated January 27, 2022 has decided to dispose of the proceedings against Habibur Rahman Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen
23.02.22

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited