

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2609/2018/1262

By Special Messenger

Dated: December 27, 2021

Habibur Rahman Securities Ltd.  
(DSE TREC No.187)  
2-3, Wapda Building  
Motijheel C/A, Dhaka-1000

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2609/2018/994 dated November 18, 2021 and subsequent correspondence, based on which the hearing was conducted on December 06, 2021.

The Commission, considering your explanation vide letter dated December 06, 2021 has decided to dispose of the proceedings against Habibur Rahman Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen

27.12.21

**Mahmuda Shireen**  
Deputy Director (Enforcement)  
E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

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Dw(MIS)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited