

# Bangladesh Securities and Exchange Commission

Securities Commission Bhaban

E-6/C, Agargaon

Sher-e-Bangla Nagar Administrative Area

Dhaka-1207, Bangladesh.

BSEC/Enforcement/2511/2018/114

March 14, 2018

By Courier Service

Fax: 880-2- 9820605

Habibur Rahman Securities Limited

DSE Member # 187

2-3 Wapda Building

Motijheel C/A, Dhaka-1000.

Sub: Non-compliance of securities laws.-Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2511/2018/52 dated February 8, 2018 based on which the hearing was conducted on February 26, 2018.

The Commission, considering the explanation vide letter dated February 26, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Habibur Rahman Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-



14.3.18

Md. Shahnaws

Assistant Director

Mob:01816810586

**Copy for information:**

Chairman's office, BSEC

P.O to Commissioner (Enforcement), BSEC

P.O to Executive Director (Enforcement), BSEC

✓ P.O to Executive Director (MIS), BSEC

P.O to Executive Director (SRI), BSEC

P.O to Director (Enforcement), BSEC