

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3203/2021/71
January 12, 2022

By Courier Service

Haji Ahmad Brothers Securities Ltd.
Modhumita Cinema Hall Building
(6th Floor, Western Side)
158-160, Motijheel C/A
Dhaka-1000
Attention: Managing Director/CEO

2 16.01.2022
DD-1 (MIS)

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3203/2021/1125 dated December 12, 2021 based on which the hearing was conducted on December 22, 2021.

Considering the explanation vide letter HABSL/HO/Enforcement/2021/12/205 dated December 21, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Haji Ahmad Brothers Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Akram Siraj 12.01.2022
Assistant Director (Enforcement)
E-mail: ad@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O.to Director (MIS), BSEC
4. Managing Director, DSE